

.NET GC Internals

Generations

@konradkokosa / @dotnetosorg

.NET GC Internals Agenda

- Introduction roadmap and fundamentals, source code, ...
- Mark phase roots, object graph traversal, *mark stack*, mark/pinned flag, *mark list*, ...
- **Concurrent Mark** phase *mark array/mark word*, concurrent visiting, *floating garbage*, *write watch list*, ...
- Plan phase gap, plug, plug tree, brick table, pinned plug, pre/post plug, ...
- Sweep phase free list threading, concurrent sweep, ...
- **Compact** phase *relocate* references, compact, ...
- Allocations bump pointer allocator, free list allocator, allocation context, ...
- Generations physical organization, card tables, demotion, ...
- **Roots internals** stack roots, *GCInfo*, *partially/full interruptible methods*, statics, Thread-local Statics (TLS), ...
- **Q&A** "but why can't I manually delete an object?", ...

By object:

- size
- type/kind
- mutability
- lifetime
- ...

Size:

- copying cost!
- different quantities
 - o many, many small objects often allocated ∠→ Small Object Heap
 - o rare large objects ∠ Large Object Heap

Size:

- copying cost!
- different quantities
 - o many, many small objects often allocated ∠ Small Object Heap

Type/kind:

• pinned or not pinned ∠ Pinned Object Heap (.NET 5+)

Size:

- copying cost!
- different quantities
 - o many, many small objects often allocated ∠ Small Object Heap

Type/kind:

• pinned or not pinned ∠ Pinned Object Heap (.NET 5+)

Lifetime:

• "many, many small objects often allocated" - sensible to split SOH even further

Partitioning - lifetime

weak/strong generational hypothesis



• object allocation in gen0/LOH

- object allocation in gen0/LOH
- GC collects given generation and all younger, so we have:
 - gen 0 GC
 - gen 1 GC gen 0&1
 - Full GC gen 0&1&2, LOH and POH (kind of LOH & POH treated as gen 2)

- object allocation in gen0/LOH
- GC collects given generation and all younger, so we have:
 - gen 0 GC
 - gen 1 GC gen 0&1
 - Full GC gen 0&1&2, LOH and POH (kind of LOH & POH treated as gen 2)
- if an objects in generation X survives the GC considering this generation, is promoted to generation X+1 (or stays in gen2)

- object allocation in gen0/LOH
- GC collects given generation and all younger, so we have:
 - gen 0 GC
 - gen 1 GC gen 0&1
 - Full GC gen 0&1&2, LOH and POH (kind of LOH & POH treated as gen 2)
- if an objects in generation X survives the GC considering this generation, is promoted to generation X+1 (or stays in gen2)
- when generation isn't collected, we simply treat all objects in that generation as live

- object allocation in gen0/LOH
- GC collects given generation and all younger, so we have:
 - gen 0 GC
 - gen 1 GC gen 0&1
 - Full GC gen 0&1&2, LOH and POH (kind of LOH & POH treated as gen 2)
- if an objects in generation X survives the GC considering this generation, is promoted to generation X+1 (or stays in gen2)
- when generation isn't collected, we simply treat all objects in that generation as live
- generations are considered in various places, fe. finalization queue is generational too

Partitioning - physical



Partitioning - physical

□ 0000026700000000	Managed Heap	393,216 K	336 K	336 K	224 K	224 K	4 Read/Write	GC
00000267000000	0 Managed Heap	4 K	4 K	4 K	4 K	4 K	Read/Write	
000002670000100	0 Managed Heap	24 bytes	24 bytes	24 bytes			Read/Write	Gen2
000002670000101	8 Managed Heap	24 bytes	24 bytes	24 bytes			Read/Write	Gen1
000002670000103	0 Managed Heap	259 K	259 K	259 K	204 K	204 K	Read/Write	Gen0
000002670004200	0 Managed Heap	261,880 K					Reserved	
000002671000000	0 Managed Heap	72 K	72 K	72 K	16 K	16 K	Read/Write	Large Object Heap
000002671001200	0 Managed Heap	131,000 K					Reserved	

	Workstation		Server	Server			
	32-bit	64-bit	32-bit	64-bit			
SOH	16 MB	256 MB	64 MB (#CPU<=4)	4 GB (#CPU<=4)			
			32 MB (#CPU<=8)	2 GB (#CPU<=8)			
			16 MB (#CPU>8)	1 GB (#CPU>8)			
LOH	16 MB	128 MB	32 MB	256 MB			

Partitioning - physical (Workstation GC)



(a) all-at- once configuration,

(b) two-stage configuration (the same as each-block configuration)

Partitioning - physical (Server GC)



Partitioning - segment "anatomy"



Partitioning - segment "anatomy"





Generations

Generations



Generations - Sweeping overview



(a) Objects A, B and C were allocated.

(b) GC was triggered and only **B** & **C** survived. Gen1 boundary is extended to include promoted **B** and **C**.

(c) Object **D** was allocated.

(d) GC was triggered and only **C** & **D** survived. Generations boundaries are extended to include **C** (promoted to gen2) and **D** (promoted to gen1).

(e) Object **E** was allocated.

(.) and the story continues...

Generations - Sweeping overview



(a) Objects **A**, **B** and **C** were allocated.

(b) GC was triggered and only **B** & **C** survived. Gen1 boundary is extended to include promoted **B** and **C**.

(c) Object **D** was allocated.

(d) GC was triggered and only **C** & **D** survived. Generations boundaries are extended to include **C** (promoted to gen2) and **D** (promoted to gen1).

(e) Object **E** was allocated.

(.) and the story continues...

Generations



Generations - gen0 GC



(a) After Mark

(b) After *Sweep* or...

(c) After Compact

Generations - gen1 GC



(a) After Mark

(b) After *Sweep* or...

(c) After Compact

Generations - gen2 GC ("Full GC")



(a) After Mark.

(b) After *Sweep* or...

(c) After Compact

Generations - gen0 & gen1

While compacting, we may "allocate in the older generation" some promoted plugs - to make use of free-list allocations and fight/reuse fragmentation (*)



Generations - running out of SOH segment space

At some point gens may grow not to fit into SOH segment - gen0/1 may not have enough space:



Generations - running out of SOH segment space

At some point gens may grow not to fit into SOH segment - gen0/1 may not have enough space:



A new *ephemeral* segment will be created during compacting GC:



Generations - running out of SOH segment space

At some point gens may grow not to fit into SOH segment - gen0/1 may not have enough space:



A new *ephemeral* segment will be created during compacting GC:



During this process:

- current ephemeral segment is changed into gen2-only all reachable objects from gen 1 & 2 are being compacted there
- new ephemeral segment all reachable objects from gen 0 are being compacted there (as gen 1 objects)
- LOH segment as usual

Generations - gen2 segments

And the story continues... current ephemeral segment may be filled, we need a new ephemeral segment:

- commit a new segment as just presented
- reuse a segment from *standby list*
- an already existing gen2-only segment with small gen2 may be reused as a new ephemeral segment - The old ephemeral segment will become gen2-only segment

	before GC	after GC				
gen2-only	gen2	gen2				
gen2-only	gen2	gen2				
gen2-only	gen2	new gen2 gen1 gen0				
ephemeral	gen1 gen0-	gen2				
	······································					

• objects are allocated in gen0/LOH or "allocated" (promoted) in gen1/2

- objects are allocated in gen0/LOH or "allocated" (promoted) in gen1/2
- thus, generations may grow in time and exceed their **allocation budget**

- objects are allocated in gen0/LOH or "allocated" (promoted) in gen1/2
- thus, generations may grow in time and exceed their **allocation budget**
- GC tracks consumption of allocation budget per generation and uses it to decide on **condemned** generation

- objects are allocated in gen0/LOH or "allocated" (promoted) in gen1/2
- thus, generations may grow in time and exceed their **allocation budget**
- GC tracks consumption of allocation budget per generation and uses it to decide on **condemned** generation
- thus, typical flow is:

- objects are allocated in gen0/LOH or "allocated" (promoted) in gen1/2
- thus, generations may grow in time and exceed their **allocation budget**
- GC tracks consumption of allocation budget per generation and uses it to decide on **condemned** generation
- thus, typical flow is:
 - we allocate an object

- objects are allocated in gen0/LOH or "allocated" (promoted) in gen1/2
- thus, generations may grow in time and exceed their **allocation budget**
- GC tracks consumption of allocation budget per generation and uses it to decide on **condemned** generation
- thus, typical flow is:
 - we allocate an object
 - EE/GC can't find space for a new allocation context (refer to *Episode 07. Allocations*)

- objects are allocated in gen0/LOH or "allocated" (promoted) in gen1/2
- thus, generations may grow in time and exceed their allocation budget
- GC tracks consumption of allocation budget per generation and uses it to decide on **condemned** generation
- thus, typical flow is:
 - we allocate an object
 - EE/GC can't find space for a new allocation context (refer to *Episode 07. Allocations*)
 - $\circ~$ the GC is triggered initialy for gen0

- objects are allocated in gen0/LOH or "allocated" (promoted) in gen1/2
- thus, generations may grow in time and exceed their allocation budget
- GC tracks consumption of allocation budget per generation and uses it to decide on **condemned** generation
- thus, typical flow is:
 - we allocate an object
 - EE/GC can't find space for a new allocation context (refer to *Episode 07. Allocations*)
 - $\circ~$ the GC is triggered initialy for gen0
 - the GC selects the condemned generation and the oldest generation with its allocation budget exceeded is one of the main reasons. Running out of ephemeral segment may be another, ...

• changed dynamically on each GC that collects that generation

- changed dynamically on each GC that collects that generation
- lies in between given *minimum* and *maximum* (*)

- changed dynamically on each GC that collects that generation
- lies in between given *minimum* and *maximum* (*)
- depends mostly on *the survival rate* (ratio of the size of objects that survived):
 - high survival rate higher allocation budget as we don't want to promote prematurely (count on "better" ratio of dead to live objects next time)
 - small survival rate smaller allocation budget as opposite to above (and we want to keep generations small)

- changed dynamically on each GC that collects that generation
- lies in between given *minimum* and *maximum* (*)
- depends mostly on the survival rate (ratio of the size of objects that survived):
 - high survival rate higher allocation budget as we don't want to promote prematurely (count on "better" ratio of dead to live objects next time)
 - small survival rate smaller allocation budget as opposite to above (and we want to keep generations small)
- the younger generation, the more dynamic change to the survival rate (*)



- changed dynamically on each GC that collects that generation
- lies in between given *minimum* and *maximum* (*)
- depends mostly on the survival rate (ratio of the size of objects that survived):
 - high survival rate higher allocation budget as we don't want to promote prematurely (count on "better" ratio of dead to live objects next time)
 - small survival rate smaller allocation budget as opposite to above (and we want to keep generations small)
- the younger generation, the more dynamic change to the survival rate (*)



Per-generation static data

	Min alloc budget	max alloc budget	fragmentation limit	fragmentation burdenlimit	limit	max_ limit	time_ clock	gc_ clock
Gen0	1) 4/15 MB	2) 6-200 MB	40000	0.5	9.0	20.0	1,000 ms	1
Gen1	160 kB	3) at least 6 MB	80000	0.5	2.0	7.0	10,000 ms	10
Gen2	256 kB	ssize_t_ Max	200000	0.25	1.2	1.8	100,000 ms	100
LOH	3MB	ssize_t_ Max	0	0.0	1.25	4.5	0 ms	0

 Table 7-1.
 Static GC Data - "Balanced" Mode (Assuming 8 MB LLC Cache)

1) related to the CPU cache size. In general, a little smaller in case of Workstation mode (first number) than in Server mode (second number).

2-3) For Workstation GC with Concurrent version - 6 MB. For Server GC and Workstation GC with Non-concurrent version - half of the ephemeral segment size

During GC's *Mark* phase we consider only given condemned and younger generations.

During GC's *Mark* phase we consider only given condemned and younger generations. Imagine gen0 GC here:



During GC's *Mark* phase we consider only given condemned and younger generations. Imagine gen0 GC here:



So, yes. We would "loose" object C 😭

During GC's *Mark* phase we consider only given condemned and younger generations. Imagine gen0 GC here:



So, yes. We would "loose" object **c** 💮 We need to remeber somewhere such *"older-to-younger"* references.

During GC's *Mark* phase we consider only given condemned and younger generations. Imagine gen0 GC here:



So, yes. We would "loose" object **c** 💮 We need to remeber somewhere such *"older-to-younger"* references. In literature, it is called *remembered set*.

During GC's *Mark* phase we consider only given condemned and younger generations. Imagine gen0 GC here:



So, yes. We would "loose" object **c** 💮 We need to remeber somewhere such *"older-to-younger"* references. In literature, it is called *remembered set*.

BTW, *"younger-to-older"* references are not a problem due to the "always collect given and younger generation"



We could store every single *"older-to-younger"* reference in some *remembered set* but it would introduce super overhead - we may have many such references changing all the time!

Instead, runtime tracks less granular information about it - covering not single object with "older-to-younger" reference, but for whole memory region.





When executing **E.field = C**, write-barrier updates the card.



When executing **E.field = C**, write-barrier updates the card. Single card covers 256/128 bytes (64/32-bit runtime).



When executing E.field = C, write-barrier updates the card. Single card covers 256/128 bytes (64/32-bit runtime). But, for performance, write-barrier sets *the whole byte* (0xFF), so 2048/1024 bytes regions are treated "dirty".

```
LEAF_ENTRY JIT_WriteBarrier_PostGrow64, _TEXT
        . . .
                [rcx], rdx
        mov
        . . .
PATCH LABEL JIT WriteBarrier PostGrow64 Patch Label Lower
                rax, 0F0F0F0F0F0F0F0F0h
        mov
        ; Check the lower and upper ephemeral region bounds
                rdx, rax
        cmp
        ib
                Exit
        . . .
PATCH_LABEL JIT_WriteBarrier_PostGrow64_Patch_Label_Upper
                r8, 0F0F0F0F0F0F0F0F0h
        mov
              rdx, r8
        cmp
        jae
                Exit
        . . .
PATCH_LABEL JIT_WriteBarrier_PostGrow64_Patch_Label_CardTable
                rax, 0F0F0F0F0F0F0F0F0h
        mov
        ; Touch the card table entry, if not already dirty.
        shr
              rcx, 0Bh
              byte ptr [rcx + rax], 0FFh
        cmp
              UpdateCardTable
        ine
        . . .
   UpdateCardTable:
        mov
                byte ptr [rcx + rax], 0FFh
LEAF_END_MARKED JIT_WriteBarrier_PostGrow64, _TEXT
```

Card bundles

On top of that, there is **card bundle** mechanism maintained by **MEM_WRITE_WATCH** or manually to have even less granular, high-level starting point to traverse card tables.

Demotion

- "if it survives it is **promoted** to older generation"...
- but *pinning* may destroy this great idea... with fragmentation:

- "if it survives it is **promoted** to older generation"...
- but *pinning* may destroy this great idea... with fragmentation:



- "if it survives it is **promoted** to older generation"...
- but *pinning* may destroy this great idea... with fragmentation:



• so, let's introduce *demotion* - as the opposite of promotion



Demotion from gen1 to gen0:



Demotion from gen1 to gen1:



Sometimes a plug will just not fit and demotion does not help:



Sometimes a plug will just not fit and demotion does not help:



Only some pinned plugs may be demoted:



Sometimes a plug will just not fit and demotion does not help:



Only some pinned plugs may be demoted:



Note. To emphasize it - in the current implementation, only pinned plugs may be demoted (which may include single non-pinned object in case of extended pinned plug)